

FORMALITIES LETTER



OC00000005021029

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
|--------------------|---------------------|-----------------------|------------------------|

09/438,944

11/12/1999

MICHAEL WILLIAM
STEWART

T57005US

WILLIAM J BUNDREN
576 FARMINGTON ROAD WEST
ACCOKEEK, MD 206079796

Date Mailed: 03/31/2000

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 03/22/2000 to the Notice to File Missing Parts (Notice) mailed 12/17/1999 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The statutory basic filing fee is missing.
Applicant must submit \$ 380 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$228.
 - \$72 for 8 total claims over 20.
 - \$156 for 4 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 673.**

*A copy of this notice **MUST** be returned with the reply.*

J. Hana F. G.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/20/2000 ASAHL 00000124 024650 09438944

| | |
|-----------|-----------|
| 01 FC:201 | 380.00 CH |
| 02 FC:202 | 156.00 CH |
| 03 FC:203 | 72.00 CH |
| 04 FC:205 | 65.00 CH |

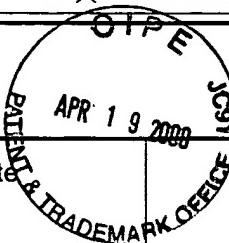


SECTOR

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
T57005US

In Re Application Of: STEWART, et al



Serial No.
09/438,944

Filing Date
11/12/99

Examiner

Group Art Unit

Invention:

Compositions and Methods for Producing Vascular Occlusion

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

12/17/99
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- _____ verified small entity declaration(s)

- is/are attached.

was/were filed on _____

Adjustment date: 06/30/2000 MULSON
04/20/2000 ASHLIE 00000125 024650 09438944
01 FC:116 A sep 380.00 request for refund.

09438944

024650

CH

- Other (list):

04/20/2000 ASHLIE 00000125 024650 09438944
01 FC:116 380.00 CH

06/30/2000 MULSON 00000011 024650
01 FC:216 190.00 CH

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
T57005US

In Re Application Of: STEWART, et al



Serial No.
09/438,944

Filing Date
11/12/99

Examiner

Group Art Unit

Invention:

Compositions and Methods for Producing Vascular Occlusion

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

- Completion of application fees as calculated below:

Utility application filing fee \$380.00

Design application filing fee

Total number of independent claims = 7 \$156.00

Total number of claims = **28** **\$72.00**

Multiple dependent claims

Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

Petition and fee for filing by other than all the inventors or a person not the invention

Fee for processing an application filed with a non-English language specification

Fee for processing and retention of application

Total completion of application fees \$673.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- One month Two months Three months Four months Five months

from: 2/17/00
Date

until: 4/17/00
Date

Total time extension fees \$190.00

Total fees due \$863.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
T57005US

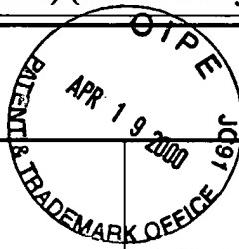
In Re Application Of: STEWART, et al

Serial No.
09/438,944

Filing Date
11/12/99

Examiner

Group Art Unit



Invention:

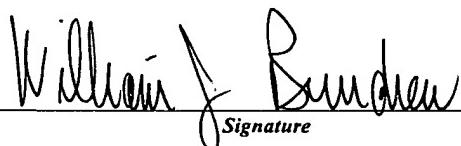
Compositions and Methods for Producing Vascular Occlusion

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$863** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 02-4650
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 02-4650
A duplicate copy of this sheet is enclosed.



William J. Bundren
Signature

Dated: **14 April 2000**

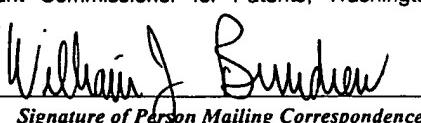
William J. Bundren
Reg. No. 31,712

**576 Farmington Road, West
Accokeek, MD 20607-9796**

telephone: 301-203-6300

CC:

I certify that this document and fee is being deposited
on 14/2000 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



William J. Bundren
Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence